



Hedge Fund Additional Investment Application Form

1. BEFORE YOU INVEST

Completing the form

DOWNLOAD AND COMPLETE THE FORM AND AGREE TO THE T&C'S

1. Please read the [Terms and Conditions](#), the Investment Option Brochure and the Minimum Disclosure Document (MDD).
2. Complete all relevant sections, and send it together with the required documents to Prescient via e-mail funds@bateleurcapital.com
3. Monthly dealing hedge funds: Your application form must be submitted to the Manager before 14h00, 2 (two) business days before the preceding month end. Proof of payment must be sent by the end of the month preceding in the deal in date.
4. The Bateleur Special Opportunities Prescient RI Hedge Fund has a 3 month/ 90-day redemption notice period.

SUPPORTING DOCUMENTS

The following must be submitted with this application:

Proof of Deposit

HAVE ANY OF YOUR DETAILS CHANGED?

If yes, please provide updated proof.

Address

Bank Account Details

Copies of updated ID or registration documents if applicable

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2. INVESTOR DETAILS

Additional Direct Investment

Name / Registered Name _____

Client number _____

3. ACTING ON BEHALF OF INVESTOR * (TO BE COMPLETED IF GUARDIAN OR POA HAS CHANGED)

* This is for Guardians/Parents, or persons with Powers of Attorney. Proof of authority and supporting FICA required.

Title _____ Surname _____

First name(s) _____ Male Female

Date of birth _____ Nationality _____

ID or Passport Number (if Foreign National) _____

Income Tax number _____

Source of funds if donating to investor _____

Occupation _____

Street Address	Postal Address		
c/o _____	Same as Street Address	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Unit _____	c/o _____		
Complex _____	Line 1 _____		
Street Number _____	Line 2 _____		
Street _____	Line 3 _____		
Suburb _____	Line 4 _____		
City _____	Postal code _____		
Postal code _____	Country _____		
Country _____			
Telephone (H) _____	Fax _____		
Telephone (W) _____	Cell _____		
Email Address _____	Capacity _____		

4. SOURCE OF FUNDS INVESTED (INDIVIDUALS)

Savings	Retirement/insurance pay out	Passive Income (rental, dividends, interest)	Trade/business	Sale of assets
Winnings	Gifts/inheritance/donations	Child/spousal support payments	Salary/bonus	Tax refund
Other (please specify) _____				

Please note that in certain circumstances we may request additional information.

5. SOURCE OF FUNDS INVESTED (LEGAL ENTITIES & TRUSTS)

Tax Refund	Investment/Insurance pay out	Passive Income (rental, dividends, interest)	Venture capital
Trust donor	Savings	Sale of assets	Donations
Other (please specify) _____			

Please note that in certain circumstances we may request additional information.

6. INVESTMENT OPTION DETAILS

Please complete the table below once you have made your selection from the latest Investment Option Brochure.

Minimum investment of R100 000 as lump sum.

- I hereby apply to purchase units in the selected portfolios subject to the conditions of the relevant Supplemental Deed at the ruling fund prices.
- The Manager does not charge an initial fee.
- The service charge is the fee charged by the Manager for managing and administering the fund.
- Fees exclude VAT.

Hedge Funds Portfolio	Service Charge (%)	Investment Amount (R)	Debit Order (R)
		R	R
		R	R
		R	R

* If you selected to have your distributions paid out, they will be paid into the bank account specified. If your distribution is below R1 000 or no selection is made, your distribution will automatically be re-invested.

*If you want to change your distribution election, please complete a Static Data Amendment Form.



AUTHORISATION AND DECLARATION

1. I have read and fully understood all the pages of this application form and agree to the Terms and Conditions of this investment into the Unit Trust Option(s) and I understand that this application and any further documents, read with the Supplemental Deed, constitutes the entire agreement between the Prescient Management Company (RF) (Pty) Ltd ("the Manager") and me.
2. I warrant that the information contained herein is true and correct and that where this application is signed in a representative capacity, I have the necessary authority to do so and that this transaction is within my power.
3. I am aware of the charges and fees, the total expense ratio, investment objectives, risk factors and income distributions applicable to my investment as set out in this form and in other documentation provided to me.
4. I authorise the Manager to deduct any debit orders, electronic collections, any applicable taxes and also to pay all fees. If the additional annual advisor fees are insufficient to pay the Financial Advisor (FSP) from one portfolio, the Manager will sell units proportionately from the portfolios and pay the amounts to the advisor monthly. Permissible deductions from the portfolio include service charges, performance fees, bank charges, trustee/custodian, audit fees, securities transfer tax and brokerage.
5. I acknowledge that the responsibility in ensuring my instruction has been received and actioned by the Manager lies with me.
6. I acknowledge that the Manager will not be liable for any damages or loss of whatsoever nature arising out of the Manager's failure to action my instruction due to any occurrences beyond the control of the Manager, nor will the Manager be liable for any loss incurred due to incorrect information being supplied by myself or by my advisor or agent sending completed documentation to the incorrect mailbox.
7. I acknowledge the transaction cut-off times set out herein and agree to comply with such cut-off times.
8. I acknowledge the inherent risk associated with the selected Hedge Fund Portfolio (s) and that there are no performance guarantees. I have received, read and understand the Hedge Fund Risk Disclosure Statement appended to this application form.
9. I understand and agree that no part of the services provided by the Manager constitutes a solicitation, recommendation, guidance or proposal, nor does it constitute financial, tax, legal, investment or other advice. I warrant to the Manager that I am acting for my own account, I have made my own independent decisions to enter into the investment and as to whether the investment is appropriate or proper for me, based upon my own judgement and upon advice from such advisors as I may deem necessary. I warrant that I am not relying on any communication from the Manager, whether written, oral or implied as investment advice or as a recommendation to enter into the investment; it being understood that information and explanations relating to the terms and conditions of an investment shall not be considered investment advice or a recommendation to enter into the investment. I warrant that I have not received from the Manager any assurance or guarantee as to the expected results of the investment.
10. I understand that the Manager will accept instructions from my FSP only if duly appointed and authorised in writing by me. The Manager will not be held liable for any losses that may result from unauthorised instructions given to the Manager by my FSP.
11. I hereby authorise the Manager to furnish written reports to my duly appointed FSP, if applicable.
12. If I have appointed an FSP I authorise the payment of the negotiated fees to be paid to my FSP from my portfolios. This authority to pay fees may be withdrawn by written notice to the Manager.
13. I authorise the Manager to accept and act upon instructions by e-mail and hereby waive any claim that I have against the Manager and indemnify the Manager against any loss incurred as a result of the Manager receiving and acting on such communication or instruction.
14. SARS requires the Manager to pay over dividend tax on your behalf where applicable. The Manager will deduct this tax before it pays any dividends or re-invests these into your investment account. Unless the Manager receives information from you indicating otherwise, accounts held by South African trusts, companies and partnerships will attract the default Dividend Tax (DT).
15. Any personal information may be used by the Manager in the normal course of business to provide the products and services and the Manager may retain and share any information for purposes of investment transactions, processing and administration and to communicate directly with me. Personal information will not be given or sold to any third parties. The Manager will disclose or report personal information if and when required to do so by law or any regulatory authority, and to the Manager's employees (if relevant), or agents who require such information to carry out their duties. I/we consent to the processing of my/our personal information/data and the disclosure of my/our personal information/data to any tax authority and/or as may be required to comply with FATCA and the Common Reporting Standard (CRS) as explained in the Terms and Conditions and the Compulsory Foreign Tax Declaration and Self-Certification attached hereto.
I consent to the Manager making enquiries of whatsoever nature for the purpose of verifying the information disclosed in this application and I expressly consent to the Manager obtaining any other information concerning me from any source whatsoever to enable the Manager to process this application.
16. I confirm that I have received, noted and understood the following information:
 - a. the Minimum Disclosure Document
 - b. investment objectives and risk factors
 - c. the calculation of the Net Asset Value (NAV), dealing prices and distribution of income accruals
 - d. any additional information necessary to enable the investor to make an informed decision.



17. I consider myself or the entity or any of its related parties or close relatives or close associates to be, or to be related to or closely associated with; a **Domestic Politically Exposed Person(s)** and/ or a **Foreign Politically Exposed Person(s)** and/ or a **Prominent Influential Person** as defined in Schedule 3A, 3B and 3C respectively of the Financial Intelligence Centre Act, as amended.

Yes No

If Yes, please provide details: _____

Please note: It is the client's responsibility to disclose to Prescient should this status change.

18. I acknowledge that Prescient will retain personal information as permitted or required to do so in terms of Applicable Laws. However, as a general rule, Prescient may retain personal information for the longest period permitted by such Applicable Laws should Prescient foresee a requirement to retain it for a lawful purpose (for example, for the purposes of complaints handling, legal processes and proceedings).
19. Personal information may be shared with companies within the Prescient Group for purposes of further onboarding or further processing of any of my current or future investments within the Prescient Group. Prescient Group collectively refers to Prescient Holdings (Pty) Ltd and its subsidiaries and affiliates. Prescient Management Company will not sell any personal information to any third parties. Prescient Management Company will disclose or report personal information if and when required to do so by law or any regulatory authority, and to our employees, or agents who require such information to carry out their duties.

Signed at _____ Date _____

Full name of signatory _____ Capacity _____

Authorised Signatory _____

Signed at _____ Date _____

Full name of signatory _____ Capacity _____

Authorised Signatory _____

THANK YOU

You have completed this application form. Please collate all your required FICA documentation to include in your submission.

CONTACT PRESCIENT MANAGEMENT COMPANY (RF) (PTY) LTD

Physical Address	Prescient House, Westlake Business Park, Otto Close, Westlake, 7945
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CONTACT BATELEUR CAPITAL (PTY) LTD

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Postal Address	Postnet Suite 130, Private Bag, X1005, Claremont, 7735
Telephone	+27 21 681 5060
Fax	+27 86 649 1794
E-mail	funds@bateleurcapital.com
Website	www.bateleurcapital.com

COMPLIANCE DEPARTMENT

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Reception	+27 21 700 3600
E-mail	compliance@prescient.co.za
Website	www.prescient.co.za

COMPLAINTS

Please do not hesitate to contact us if you are not satisfied with this investment or the services received from Prescient. A complaint must be submitted to the Compliance Officer. Prescient will acknowledge the complaint in writing and will inform the investor of the contact details of the persons involved in the resolution thereof. Should you wish to lodge a complaint regarding the services being provided, an email can be sent to complaints@prescient.co.za or alternatively you can obtain our complaints policy, conflict of interest policy from the compliance department (address above).

Should you have a complaint related to the advice given by your financial advisor, please submit this complaint directly to your financial advisor. If an investor is still not satisfied with the response from the Financial Advisor, he/she has the right to address his/her complaint in writing to the Ombud for Financial Services Providers at the address below. The Ombud is legally empowered to investigate and adjudicate complaints in a procedurally fair, economical and expeditious manner.

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